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# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** ( Not for submission under 37 CFR 1.99)

|                        |                         |
|------------------------|-------------------------|
| Application Number     | 10694430                |
| Filing Date            | 2003-10-27              |
| First Named Inventor   | Keiichi YAMAMOTO et al. |
| Art Unit               | 2621                    |
| Examiner Name          |                         |
| Attorney Docket Number | NAGA:005                |

| U.S.PATENTS  |         |                                      |                             |                        |   |  | Remove   |                          |
|--|---------|--------------------------------------|-----------------------------|------------------------|---|--|--|--------------------------|
| Examiner Initial*  | Cite No | Patent Number                        | Kind Code <sup>1</sup>      | Issue Date             | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear |  |                          |
| AA   | 1       | 6359612                              | B1                          | 2002-03-19             | PETER et al.                                    | coresp. to DE19845030 A1   |  |                          |
| AA   | 2       | 5203704                              | A                           | 1993-04-20             | MCCLLOUD  |  |  |                          |
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| AA   | 1       | 20020041260                          | A1                          | 2002-04-11             | GRASSMANN                                       | corresp. to DE10039432 C1  |  |                          |
| AA   | 2       | 20020036617                          | A1                          | 2002-03-28             | PRYOR   |  |  |                          |
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| AA   | 1       | 10039432                             | DE                          | C1                     | 2001-12-06                                      | GRASSMANN  | Engl. Abstract<br>corresp. to<br>US 20020041260                          | <input type="checkbox"/> |

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|   | Filing Date            |                         | 2003-10-27 |  |
|   | First Named Inventor   | Keiichi YAMAMOTO et al. |            |  |
|   | Art Unit               | 2621                    |            |  |
|   | Examiner Name          |                         |            |  |
|   | Attorney Docket Number | NAGA:005                |            |  |

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|----|---|----------|----|----|------------|--------------|---|--------------------------|
| AA | 2 | 19654944 | DE | A1 | 1998-06-25 | BOHN et al.  | Engl. Abstract                                | <input type="checkbox"/> |
| AA | 3 | 19845030 | DE | A1 | 2000-04-20 | PETER et al. | Engl. Abstract<br>corresp. to<br>US6359612 B1 | <input type="checkbox"/> |

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|--------------------|---------|---|-------------------------------------|
| AA                 | 1       | Relevant portion of German Office Action of corresponding German Application DE 103 49 568.1-53   | <input checked="" type="checkbox"/> |

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| Examiner Signature | /Andrae Allison/ | Date Considered | 09/27/2006 |
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Substitute: ~~Senate Bill 1449~~/PTO

PTO/SB/08A (08-03)

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| Sheet | 1 |
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|--------------------|------------|
| Application Number | 10/694,430 |
|--------------------|------------|

|             |                  |
|-------------|------------------|
| Filing Date | October 27, 2003 |
|-------------|------------------|

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|----------------------|-------------------------|
| First Named Inventor | Keiichi YAMAMOTO et al. |
|----------------------|-------------------------|

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|----------|------|
| Art Unit | 2621 |
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Examiner Name

|                        |          |
|------------------------|----------|
| Attorney Docket Number | NAGA:005 |
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/Andrae Allison/

Date \_\_\_\_\_

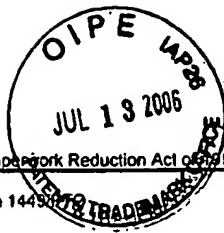
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| Filing Date            | October 27, 2003 |
| First Named Inventor   | Keiichi YAMAMOTO |
| Art Unit               | 2621             |
| Examiner Name          |                  |
| Attorney Docket Number | NAGA:005         |

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|-----------------------|--------------------------|---|----------------|
| AA                    | B                        | Relevant portion of German Office Action of corresponding German<br>Application DE 10 2005 088 221.1.   | ✓              |
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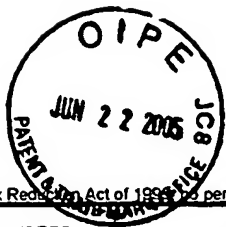
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| First Named Inventor   | Keiichi YAMAMOTO et al. |
| Art Unit               | 2621                    |
| Examiner Name          |                         |
| Attorney Docket Number | NAGA:005                |

**U. S. PATENT DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|--------------------|-----------------------|--|--------------------------------|--|---|
|                    |                       | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
| AA                 | A                     | US- 2005-0063564-A1                      | 03-24-2005                     | Keiichi YAMAMOTO et                                |   |
|                    |                       | US-                                      |                                |  |   |
|                    |                       | US-                                      |                                |  |   |
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**FOREIGN PATENT DOCUMENTS**

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| Attorney Docket Number | NAGA:005                |

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|-----------------------|--------------------------|---|----------------|
| AA                    | B                        | Notice on Office Action from the Patent Office of the People's Republic of China, dated May 27, 2005, for a counterpart foreign application.  | ✓              |
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|--------------------|-----------------------|---|--------------------------------|---|---|----------|
|                    |                       | Country Code <sup>2</sup> *Number <sup>4</sup> *Kind Code <sup>6</sup> (if known) |                                |   |   |          |
| AA                 | A                     | JP 9-206473 A   | 08-12-1997                     | KATO et al.                                     | Abstract  |          |
| AA                 | B                     | JP 2001-216069 A  | 08-10-2001                     | NUMAZAKI et al.                                 | Abstract  |          |
| AA                 | C                     | JP 11-134090 A  | 05-21-1999                     | HORIBA  | Abstract  |          |
| AA                 | D                     | JP 2000-293666 A  | 10-20-2000                     | TAKAHASHI                                       | Abstract  |          |
| AA                 | E                     | JP 11-24839 A   | 01-29-1999                     | REKIMOTO et al.                                 | Abstract  |          |
| AA                 |                       |   |                                |   |   |          |

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| Examiner Initials* | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|--------------------|-----------------------|---|----------------|
| AA                 | F                     | Notification of Reasons for Rejection, dated November 8, 2005, issued in JP Application No. 2004-052014, from which related US Application Ser. No. 11/064,428 claims priority.   | ✓              |
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| Examiner Signature | /Andrae Allison/ | Date Considered | 09/27/2006 |
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**(Use as many sheets as necessary)**

**Complete if Known**

|                        |                        |
|------------------------|------------------------|
| Application Number     | 10/694,430             |
| Filing Date            | October 27, 2003       |
| First Named Inventor   | Keichi YAMAMOTO et al. |
| Art Unit               | 2621                   |
| Examiner Name          |                        |
| Attorney Docket Number | NAGA:005               |

Sheet 1 of 2

## U. S. PATENT DOCUMENTS

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**FOREIGN PATENT DOCUMENTS**

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| Examiner<br>Signature | /Andrae Allison/ | Date<br>Considered | 09/27/2006 |
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|   |      | Application Number       | 10/694,430              |
|   |      | Filing Date              | October 27, 2003        |
|   |      | First Named Inventor     | Keiichi YAMAMOTO et al. |
|   |      | Art Unit                 | 2621                    |
|   |      | Examiner Name            |                         |
| Sheet 2   | of 2 | Attorney Docket Number   | NAGA:005                |

| NON PATENT LITERATURE DOCUMENTS |                       |   |                |
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| Examiner Initials*              | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
| AA                              | D                     | German Office Action for corresponding German application DE 10 2004 038 965.9.   | ✓              |
| AA                              | E                     | AKYOL, Suat et al.; "Gestensteuerung für Fahrzeugbordsysteme"; DAGM-Symposium; 13 - 15 Sept 2000; p. 139-146; Germany.  |                |
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## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional) NAGA:005

SERIAL NO.: NEW APPLICATION

APPLICANT(s) Keiichi YAMAMOTO et al.

FILING DATE: October 27, 2003

Group Art Unit

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL | Ref | DOCUMENT<br>NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF<br>APPROPRIATE |
|---------------------|-----|--------------------|------|------|-------|----------|-------------------------------|
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## FOREIGN PATENT DOCUMENTS

|    | REF | DOCUMENT<br>NUMBER | DATE | COUNTRY                | CLASS | SUBCLASS | TRANSLATION |    |
|----|-----|--------------------|------|------------------------|-------|----------|-------------|----|
|    |     |                    |      |                        |       |          | YES         | NO |
| AA | JP  | 2001-216069 A      | 8/01 | JAPAN English Abstract |       |          | X           |    |
| AA | JP  | 11-134090 A        | 5/99 | JAPAN English Abstract |       |          | X           |    |
|    |     |                    |      |                        |       |          |             |    |
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## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

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| /Andrae Allison/ |  |  | 09/27/2006      |

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